

TOH/smg(sjs)
01/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Alice M. Chiang and Steven R. Broadstone

Application No.:

09/619,123

Group: 3737

Filed:

July 19, 2000

For:

Portable Ultrasound Imaging System

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>1-16-01</u>	<u>Haley Gross</u>
Date	Signature
<u>SALLY GROSS</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated September 13, 2000, the following documents and fees are being submitted for filing in the captioned application:

☐ **EXECUTED DECLARATION/POWER OF ATTORNEY** - A copy of the Notice is attached.

(Separate transmittal letter and postcard not required)

☒ **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.

(Separate transmittal letter and postcard not required)

☒ **SURCHARGE** - surcharge fee of \$65.00.

(Separate transmittal letter and postcard not required)

☐ **SEQUENCE LISTING** - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

01/26/2001 MAHMED1 00000057 09619123

04 FC:216

195.00 DP



09/619,123

-2-

[X] PETITION FOR EXTENSION OF TIME

[X] Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated September 13, 2000 for two- months from November 13, 2000 to January 13, 2001. The appropriate fee of \$195.00 is included in the enclosed check.

[] A **[]** month extension of time to respond to the Notice to File Missing Parts dated **[]** was filed on **[]** with payment of a \$**[]** fee.

[] Applicant hereby petitions for an additional **[]** month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$**[]** is included in the enclosed check.

(Separate Petition for Extension of Time and postcard not required)

[] REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

[X] VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

[] Was filed on **[]** .

[X] Is enclosed herewith.

(Separate transmittal letter and postcard not required)

[] In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$**[]** which were paid on **[]** to be deposited in Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$975.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

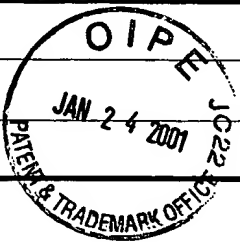
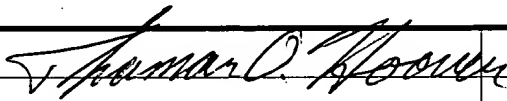
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Thomas O. Hoover
Thomas O. Hoover
Registration No.: 32,470
Tel.: (781) 861-6240
Fax: (781) 861-9540

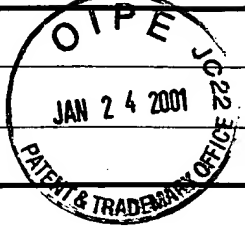
Lexington, Massachusetts 02421-4799
Date: January 16, 2001

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2008.1001-018			
	Application Number	09/619,123			
	First Named Inventor	Alice M. Chiang			
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	60 - 20* =	40	x \$ 18 =	\$ 720
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	3 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 270 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 710
				Total of above Calculations =	\$ 1430
				Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =	\$ 715
				TOTAL =	\$ 715
				Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =	\$ 65
				Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =	\$ 195
				Assignment Recordation Fee = <small>(only when filed with application)</small>	\$
				TOTAL =	\$ 975
<p>* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent</p>					
<p>1. Small entity status:</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> A small entity statement is enclosed.</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$975.00. <input type="checkbox"/> Please charge \$[] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature				Date	January 16, 2001
Submitted by Typed or Printed Name		Thomas O. Hoover		Reg. Number	32,470

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2008.1001-018	
	Application Number	09/619,123	
	First Named Inventor	Alice M. Chiang	



CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
PATENT	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	60 - 20* =	40	x \$ 18 =	\$ 720
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	3 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 270 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 710
				Total of above Calculations =	\$ 1430
				Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =	\$ 715
				TOTAL =	\$ 715
				Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =	\$ 65
				Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =	\$ 195
				Assignment Recordation Fee = <small>(only when filed with application)</small>	\$
				TOTAL =	\$ 975

* Reissue claims in excess of 20 and over original patent
** Reissue independent claims over original patent

1. Small entity status:

a. ☒ A small entity statement is enclosed.

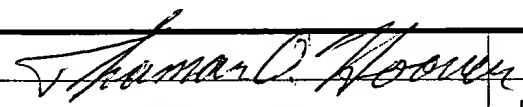
b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.

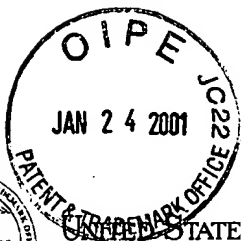
c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$975.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature		Date	January 16, 2001
Submitted by Typed or Printed Name	Thomas O. Hoover	Reg. Number	32,470



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/619,123	07/19/2000	Alice M. Chiang	2008-1001-018

Thomas O Hoover
Hamilton Brook Smith & Reynolds PC
Two Militia Drive
Lexington, MA 02421-4799

FORMALITIES LETTER



OC000000005396861

Date Mailed: 09/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$720.
 - \$720 for 40 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1540.

01/26/2001 MAHME1 00000057 09619123

01 FC:201
02 FC:203
03 FC:205

355.00 OP
360.00 OP
65.00 OP

1 copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE